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02-22-02  
GAU 2154  
skjerven morrill  
macpherson LLP

Docket No.: SP-2628-1C US

Box Non-Fee Amendment  
Commissioner For Patents  
Washington, D.C. 20231



February 21, 2002

Re: Applicant(s): Jeffrey Meng Wah Chan, Marc Tremblay  
Assignee: Sun Microsystems Inc.  
Title: Execution Of Instructions That Lock And Unlock Computer Resources  
Serial No.: 09/941,142  
Examiner: Unassigned  
Docket No.: SP-2628-1C US

Filed: August 28, 2001  
Group Art Unit: 2154

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate); and
- (3) Preliminary Amendment (8 pages);

- ☒ No additional fee is required.  
☒ The fee has been calculated as shown below:

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**CLAIMS AS AMENDED**

	Claims Remaining <u>After Amendment</u>		Highest No. Previously <u>Paid For</u>		Present <u>Extra</u>	<u>Rate</u>	Additional <u>Fee</u>
Total Claims	19	Minus	19	=	0	x \$18.00	\$ 0.00
Independent Claims	3	Minus	3	=	0	x \$84.00	\$ 0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application						\$
<input type="checkbox"/>	Fee for Request for Extension of Time						\$
<b><u>Total additional fee for this Amendment:</u></b>							\$ 0.00
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.						
<input checked="" type="checkbox"/>	Please charge our Deposit Account No. 19-2386 in the amount of						\$ 0.00
<input checked="" type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386.						
<b>Total:</b>							\$ 0.00

EXPRESS MAIL LABEL NO:

EL764881576US

Respectfully submitted,

D'Ann Naylor Rifai  
Attorney for Applicant(s)  
Reg. No. 47,026



PATENT

Handwritten: #6, 3-14-

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jeffrey Meng Wah Chan, Marc Tremblay  
Assignee: Sun Microsystems, Inc.  
Title: Execution of Instructions that Lock and Unlock Computer Resources  
Serial No.: 09/941,142 Filing Date: August 28, 2001  
Examiner: Unknown Group Art Unit: 2154  
Docket No.: SP-2628-1C US Client Ref. No. P2628-1C

San Jose, California  
February 21, 2002

COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

PRELIMINARY AMENDMENT

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Dear Sir:

The following Amendments and Remarks are submitted for entry into the application filed on August 28, 2001, Serial Number 09/941,142. This Preliminary Amendment is submitted prior to the first Office Action on the merits in the above-captioned case. Entry of this amendment is respectfully requested.

AMENDMENTS

In the Specification

Please add the following paragraph as the first paragraph of the application:

AI  
This application is a continuation of application serial number 09/204,760, entitled "Partially Executing a Pending Atomic Instruction to Unlock Resources when Cancellation of the Instruction Occurs", filed on December 2, 1998, subsequently issuing as U.S. Patent No. 6,282,637 B1.